



In re Application of

Audrey Goddard et al.

Serial No.: 10/758,377

Filed: January 15, 2004

For: Compositions and Methods for the

Diagnosis and Treatment of Tumor

Group Art Unit: 1632

Examiner: not yet assigned

Confirmation No: 5616

Customer No: 09157

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mall in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

May 12, 2004

Jennifer Fisk

REQUEST FOR A CORRECTED FILING RECEIPT

Mail Stop: Office of Initial Patent Examination Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested. Please make the corrections as indicated on the attached copy and as follows:

In the Domestic Priority data as claimed by applicant" section, please insert after "This application is a CON of 09/888,257 06/22/2001":

--which claims benefit of 60/063,540 10/28/1997

and 60/089,653 06/17/1998

and 60/099,792 09/10/1998

and 60/103,678 10/08/1998

and 60/235,451 09/26/2000--

This priority claim is reflective of the Preliminary Amendment filed with the U.S. Patent Office on February 9, 2004.

Respectfully submitted,

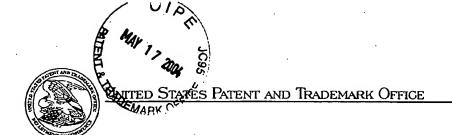
GENENTECH, INC.

Date: May 12, 2004

Mark T. Kresnak, Ph.D.

Reg. No. 42,767

Telephone No. (650) 4461



unr/ec/L

UNITED STATES DEPARTMENT OF COMMERC United States Patent and Trademark Office: Address COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Viginia 22313-1450

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY,DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/758,377	01/15/2004	1632.	770	P5002R1C1	10	15	1

CONFIRMATION NO. 5616-

FILING RECEIPT

OC000000012149328

Date Mailed: 03/22/2004

09157 GENENTECH, INC. 1 DNA WAY SOUTH SAN FRANCISCO, CA 94080

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Audrey Goddard, San Francisco, CA, Paul J. Godowski, Burlingame, CA, Austin L. Gurney, Belmont, CA, Kenneth J. Hillan, San Francisco, CA, Paul Polakis, Burlingame, CA, Victoria Smith, Burlingame, CA, William I. Wood, Hillsborough, CA, Thomas D. Wu, San Francisco, CA, Zemin Zhang, Foster City, CA,

Assignment For Published Patent Application

Genentech, Inc.:

Domestic Priority data as claimed by applicant

This application is a CON of 09/888,257 06/22/2001 which claims benefit of 60/235,451 09/26/2000— and is a CON of PCT/US99/12252 06/02/1999 and is a CON of PCT/US99/20111 09/01/1999 and is a CON of PCT/US00/04342 02/18/2000 and is a CON of PCT/US00/05841 03/02/2000 and is a CON of PCT/US00/08439 03/30/2000 and is a CON of PCT/US00/32678 12/01/2000 and is a CON of PCT/US01/06520 02/28/2001 and is a CON of PCT/US01/06666 03/01/2001

- 60/063,540 10/28/1997 and 60/089,653 06/17/1998 and 60/099,792 09/10/1998 and 60/103,678 10/08/1998 and 60/235,451 09/26/2500

Foreign Applications

If Required, Foreign Filing License Granted: 03/19/2004

Projected Publication Date: 07/01/2004

Non-Publication Request: No

Early Publication Request: No

Title

Compositions and methods for the diagnosis and treatment of tumor $\sqrt{}$

Preliminary Class

435

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

